

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

Assistant Commissioner for Patents, Washington, D.C. 20231, on May 24, 2001.

C. Wilson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Christopher S. HELGESON et al.

Serial No.: 09/760,068

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A

BUSINESS APPLICATIONS SERVER MANAGEMENT SYSTEM PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(b) dated February 27, 2001, attached please find:

- Fee Transmittal (1 page).
- One Month Extension of Time Fee (2 pages).
- Copy of the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(b) dated February 27, 2001 (1 page).
- Declaration signed by the inventors (2 pages).
- Check in the amount of \$240.00 (\$130.00 missing parts surcharge and \$110.00 extension fee).
- Return reciept postcard.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**.

Respectfully submitted,

Dated:

May 24, 2001

Rv

A. Randall Camacho Registration No. 46,595

Morrison & Foerster LLP 425 Market Street

San Francisco, California 94105-2482

Telephone: (415) 268-7886 Facsimile: (415) 268-7522

Applied for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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FEE TRANSMITTAL FOR FY 2001

| Complete if Known | | | | | |
|----------------------|---------------------------------|--|--|--|--|
| Application Number | 09/760,068 | | | | |
| Filing Date | January 12, 2001 | | | | |
| First Named Inventor | Christopher S. HELGESON et al., | | | | |
| Examiner Name | To Be Assigned | | | | |
| Group Art Unit | 2171 | | | | |

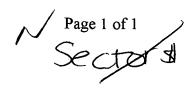
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)240.00 Attorney Docket No. 360322000100

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | | |
|---|-----------------------------|-----------------------|----------------------|-----------------------|--|--|--|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. ADDITIONAL FEES | | | | | | |
| Deposit Account Number 03-1952 | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | |
| Deposit Account Name Morrison & Foerster LLP | 105 . | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$130.00 | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | 130 | 139 | 130 | Non-English specification | | |
| 2. Payment Enclosed: | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | |
| ☑ Check □ Credit Card □ Money Order □ Other | 112 | 920* | 112 | 920° | Requesting publication of SIR prior to Examiner action | | |
| FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | \$110.00 | |
| | 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$) Fee | 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| Paid | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | | |
| 101 710 201 355 Utility filing fee | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | | |
| 106 320 206 160 Design filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | | |
| 107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | |
| 108 710 208 355 Reissue filing fee | 121 138 | 270 1,510 | 221 138 | 135 1,510 | Request for oral hearing Petition to institute a public use | | |
| | 140 | 110 | 240 | 55 | proceeding | | |
| SUBTOTAL (1) (\$) | 141 | 1,240 | 241 | 620 | Petition to revive - unavoidable Petition to revive - unintentional | | |
| 2. EXTRA CLAIM FEES | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | | |
| Extra Fee from Fee Paid | 143 | 440 | 242 | 220 | Design issue fee | | |
| Total Claims -20 = x = \$0 | 144 | 600 | 244 | 300 | Plant issue fee | | |
| Independent -3 = x = \$ | 122 | 130 | 122 | 130 | Petitions of the Commissioner | | |
| Multiple Dependent 0 x = \$0.00 | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| | 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | | |
| 103 18 203 9 Claims in excess of 20 | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 102 80 202 40 Independent claims in excess of 3 | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 104 270 204 135 Multiple dependent claims, if not paid | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | | |
| 109 80 209 40 **Reissue independent claims over original patent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| 110 18 210 9 **Relssue claims in excess of 20 and over original patent | Other fee | (specify) | | | ! | | |
| SUBTOTAL (2) (\$) | Julio ice | (openiy) | | - | | ــــــــــــــــــــــــــــــــــــــ | |
| ** or number previously paid, if greater; For reissues, see above. | *Reduced | by Basic F | iling Fee Pai | id | SUBTOTAL (3) (\$): | 240.00 | |
| SUBMITTED BY Complete (if applicable) | | | | | | | |



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/760,068

01/12/2001

Christopher S. Helgeson

360322000100

A. Randall Camacho Morrison & Foerster LLP 425 Market Street San Francisco, CA 94105



CONFIRMATION NO. 2597
FORMALITIES LETTER
OC00000005808707

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Daniel S. Lipkin, Robert S. Larson, Michael L. Dufner, Gaurav Mehra, Deepak Jain
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/31/2001 NDANTE1 00000019 09760068

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130.00 BP